# Cybersecurity Overview

December 5, 2017

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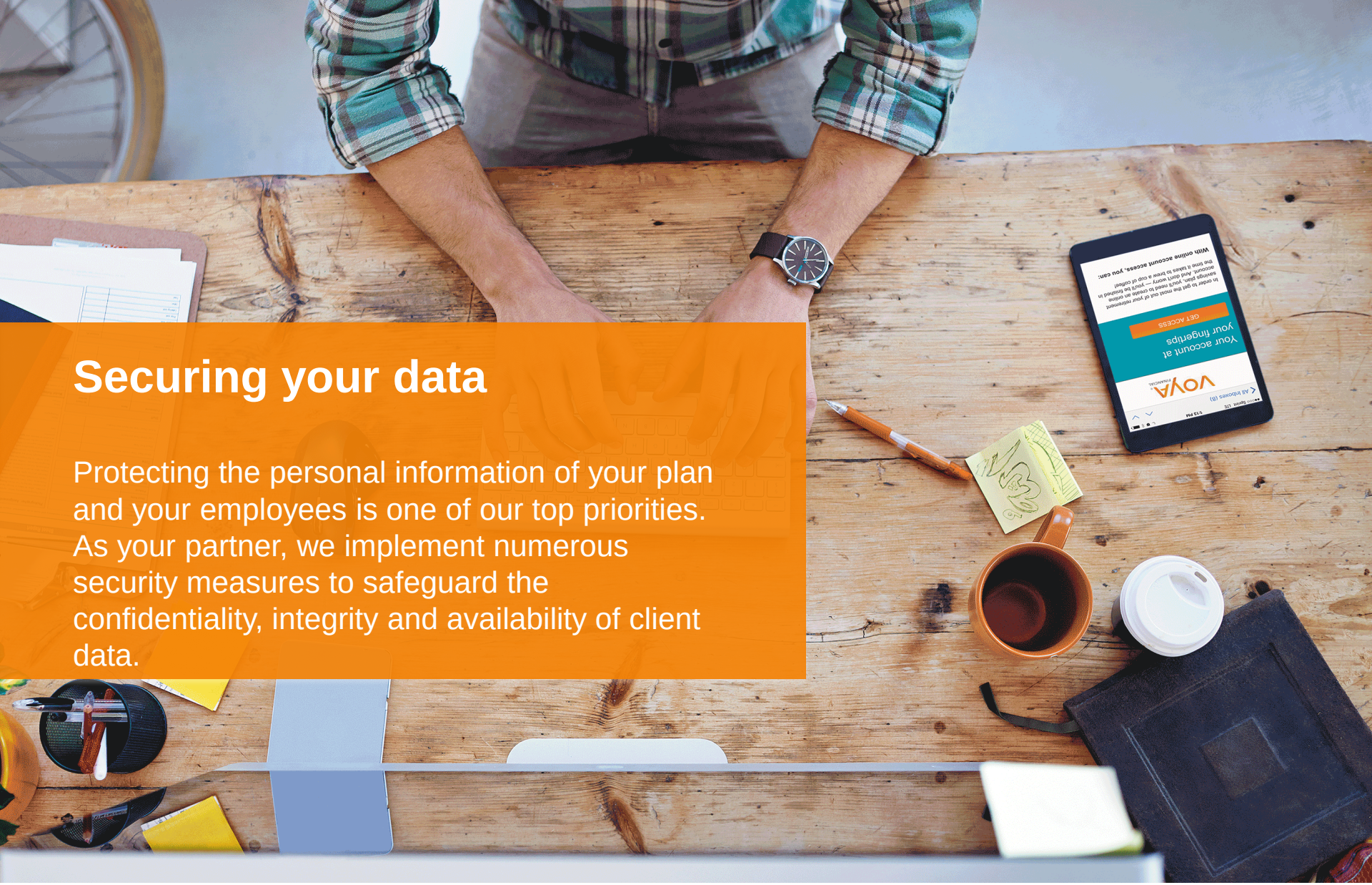
PLAN | INVEST | PROTECT

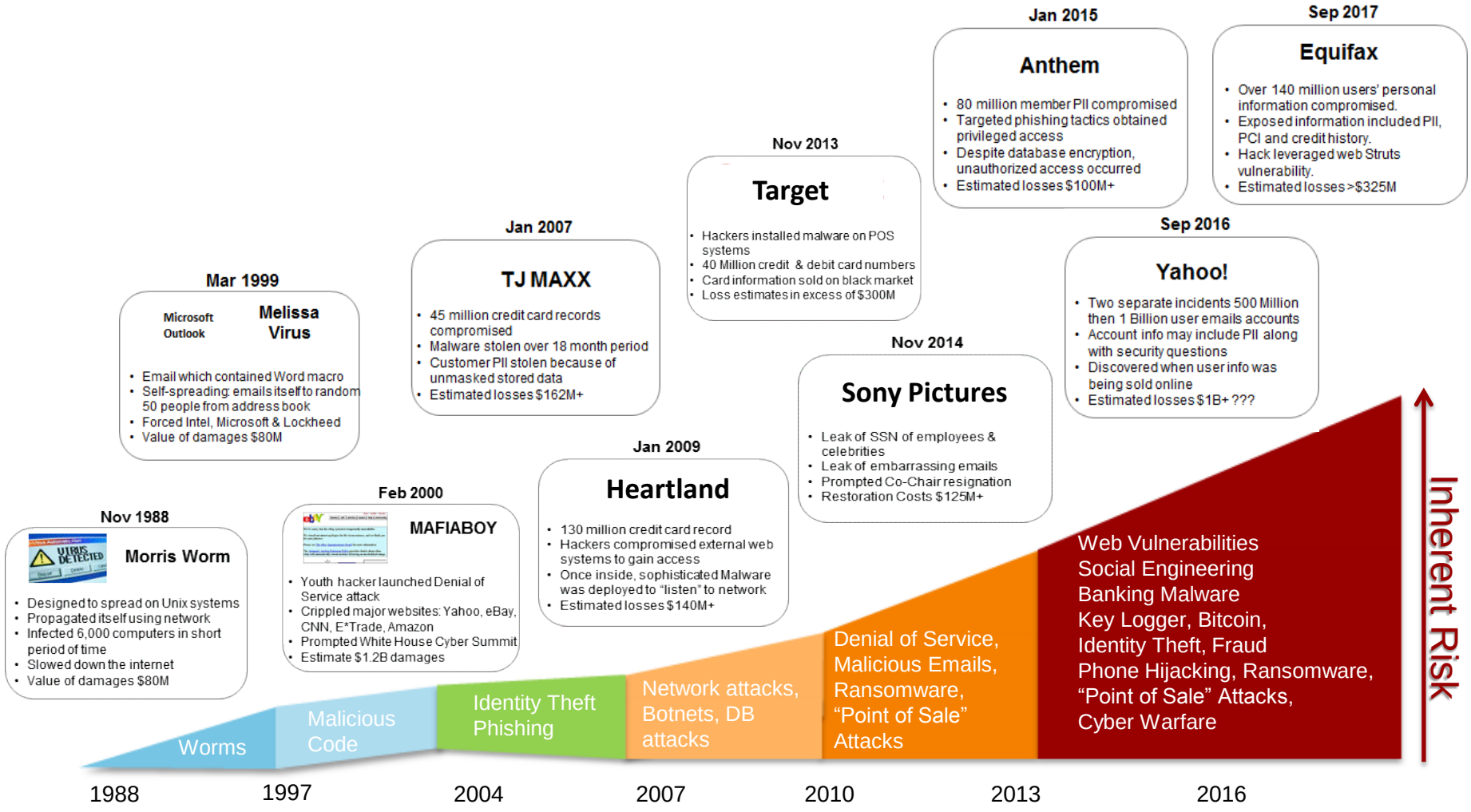
VOYA FINANCIAL




# Securing your data

Protecting the personal information of your plan and your employees is one of our top priorities. As your partner, we implement numerous security measures to safeguard the confidentiality, integrity and availability of client data.

# Evolution of the threat landscape



# People, technology & process to protect client data

**PEOPLE**
Highly skilled security professionals with a robust security awareness program for the entire Voya workforce

**TECHNOLOGY**
Layers of security controls to provide maximum protection with proactive threat intelligence collaboration across the industry, government agencies, and security firms

**PROCESS**
Industry best practice controls and processes to ensure your data is secure

# Our people

## Highly skilled and continuously improving

Conduct monthly phishing tests across Voya Financial™ monthly

**85,000** individual phishing tests annually to train employees on how to avoid phishing attacks.

- Dedicated and certified information security professionals
- Participate in global ethical hacking competitions.
- Trained front line employees as the first line of defense on fraud detection and prevention

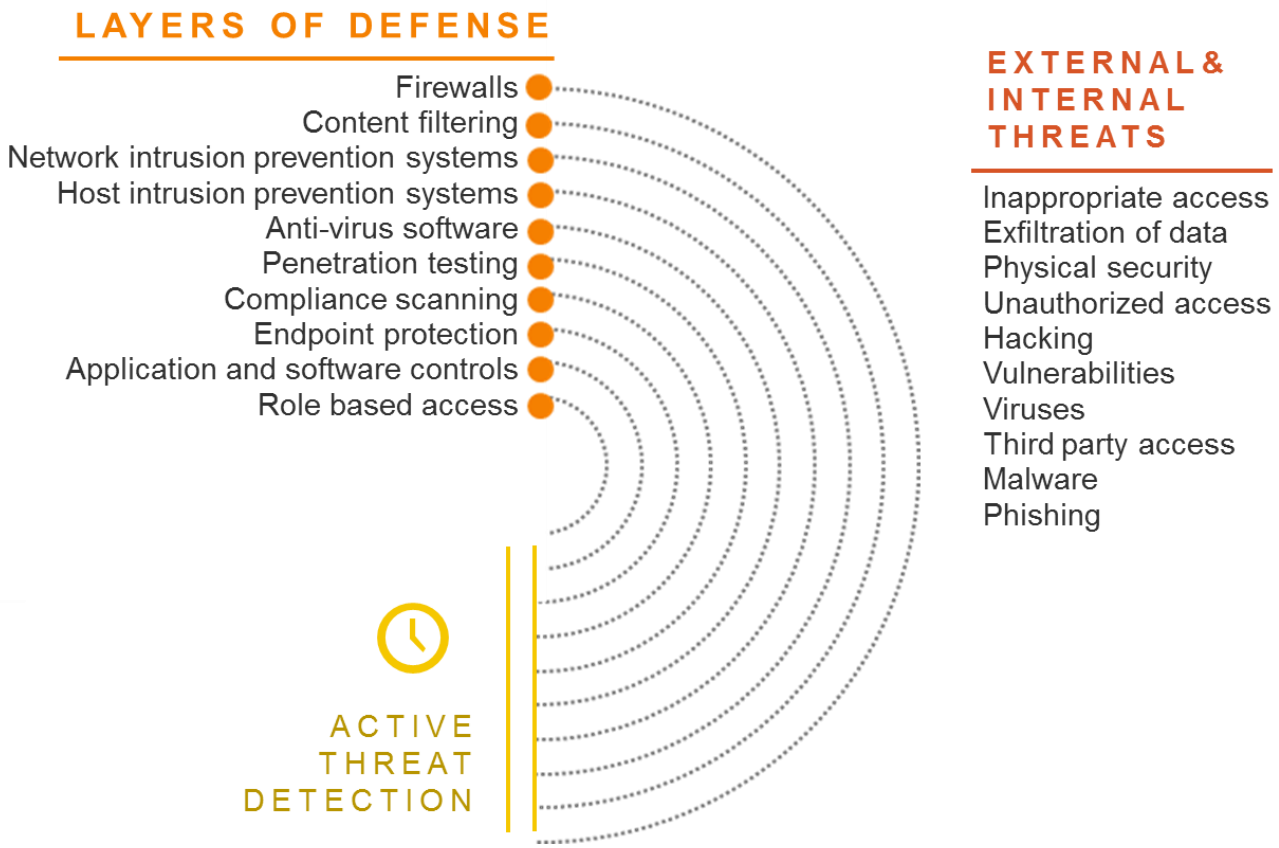
# Our technology

## Protecting your data starts from within


- Automated notification of threat intelligence
- Robust identity verification
- Leverage predictive modeling
- Independent third-party testing

# Our technology - protecting data starts from within

## Designed to prevent corruption of data, block unknown or unauthorized access to our systems and safeguard client data



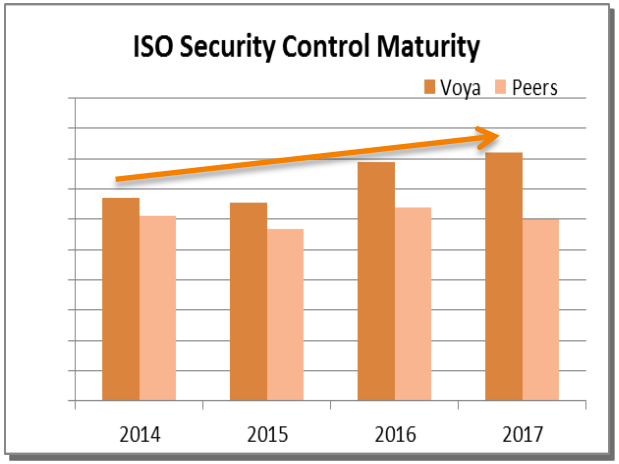
# Our process

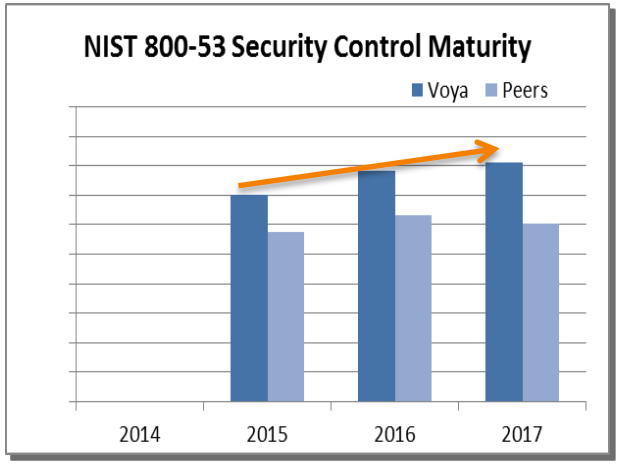
## Industry best practice controls

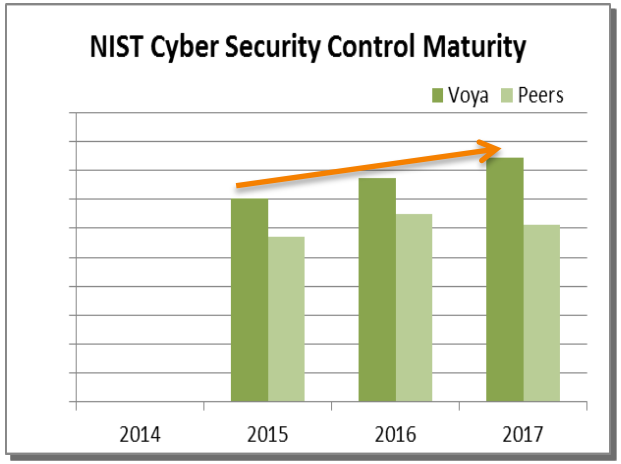
Industry best practice **policies** and **controls** as evidenced by SOC 1 and SOC 2 **certifications**.

- Department of Homeland Security provides us with information on domestic and international threats, which we incorporate into our security protocols.
- Part of a government-sponsored organization that helps us stay informed of security risks
- Compliance to SPARK data security best practice standards
- Peer benchmarking results show that Voya is well aligned and slightly ahead of peers with respect to policies and supporting controls alignment to ISO and NIST standards

# Our Process – Improving Year-Over-Year




Information security management to protect the:

- Confidentiality
- Integrity
- Availability of customer and company information

Security and privacy control requirements for information systems that are used by organizations that support federal entities

Building on the NIST 800.53 standards for:

- Access controls
- Security functions
- Internet security

**Note:** Current CEB/Gartner benchmarks Voya against companies from Financial Services, Insurance, High Tech, Manufacturing, and Retail industries.

# Our process - in the event of a cybersecurity incident

**Voya's highly-specialized security incident response team (SIRT) trained to manage cybersecurity related incidents**

# Q&A